

Guidelines and Implementation Procedures to process applications for Fiscal Incentives to Manufacturing Units and Charging Service Providers under Uttar Pradesh Electric Vehicle and Battery Manufacturing & Mobility Policy 2022

1. Introduction

- 1.1. This Government Order will be named as the Guidelines and Procedures for Implementation of Uttar Pradesh Electric Vehicle Manufacturing and Mobility Policy 2022 (UP EVMMMP 2022) notified by Government of Uttar Pradesh vide IID6 G.O No 41/ 2022/2596/77-6-2022-1 (M)/2022 dated 14th October 2022.
- 1.2. The Order shall be effective for the period from 14.10.2022 until 13.10.2027 or as amended by the State Government for implementing the benefits laid by the policy under Section 3 and 5 (i.e. for the Creation of Charging infrastructure and Promoting of Manufacturing)

2. Definitions

- 2.1. **Effective Date** means the date from which the EVMM Policy becomes effective i.e. 14.10.2022
- 2.2. **Effective Period** means the period starting from the Effective Date, i.e. 14.10.2022 till the period of 5 years, i.e. 13.10.2027 or until any amendment or repeal thereof by the State Government
- 2.3. **Manufacturing unit** means any industrial undertaking (not being an industrial undertaking in the joint sector or public sector where the share capital of Government or a Government undertaking is 50% or higher) owned by any entity constituted as a company, partnership firm including a LLP, Society, Trust, Industrial Cooperative Society or Proprietary concern engaged or to be engaged in the manufacture, production, processing, contract manufacturing or job work of articles and set up as a new or expansion or diversification project set up in single premise on a contiguous land parcel within the State of Uttar Pradesh.
 - A) Here 'Manufacturing' means processing of raw material or inputs in any manner that results in emergence of a new product having a distinct name, character and use, and includes manufacturing, production, processing, contract manufacturing or job work of articles Electric Vehicle manufacturing units, EV component manufacturing units, Charging/ Battery equipment manufacturing units and Battery manufacturing units.
 - B) And 'Job Work' means any treatment or process undertaken by a person on goods belonging to another person
- 2.4. **Expansion** means, where an existing manufacturing unit increases its gross block by at least 25% through new capital investment

- 2.5. **Diversification** means where an existing manufacturing unit manufactures an entirely distinct product (and not merely a variant of existing product). Further, the manufacturing unit to be eligible for incentives under diversification has to increase its gross block by at least 25% or has to qualify as a Mega or above Project Category as defined in this policy, whichever is less, through new capital investment
- 2.6. **Service units** means any privately owned public charging station and/ or swapping station and/ or battery recycling service provider set up in single premise on a contiguous land parcel. No expansion/ diversification cases are admissible under the policy.
- 2.7. **Fixed Capital investment for manufacturing unit (FCI-M)** means the investment made in land, building, plant and machinery, utilities, tools, infrastructure and equipment and other such assets as are required to manufacture the end product within eligible investment period, including the following costs -

A	Land	The actual purchase price as per the registered document of the land shall be considered as the cost of land for the project (excluding Stamp duty & registration fees paid). In case, the land is allotted by U.P. State Industrial Development Corporation (UPSIDA) or any other State Govt Agency, the actual allotment price paid shall be considered as the cost of land (excluding Stamp duty & registration fees paid). Similarly, in case land taken on lease from private sources, the actual allotment price paid shall be considered as the cost of land (excluding Stamp duty & registration fees paid). A maximum of 10% of total “fixed capital investment” will be taken into account as the “Land” component of capital investment.
B	Building	Building means a new building constructed for the project, including administrative building. The cost of new buildings constructed for installation of plant and machinery, research & development activities, in-house testing facilities, storage facilities, and other buildings related to the manufacturing process, shall be considered as per the actual expenditure incurred. A maximum of 10% of total “capital investment” will be taken into account as the “Building” component of capital investment.
C	Other construction	Other construction means construction such as compound wall and gates, security cabins, internal roads, bore well, water tanks, internal pipeline network for water and gas, and other related constructions.
D	Plant & Machinery	Plant and machinery means any new indigenous/imported plant and machinery, utilities, including cost of transportation, foundation, erection, installation and electrification. The electrification cost will include the cost of sub-station and transformer. Such other tools and equipment, which are helpful for production, shall also be included. Plant and Machinery may also include: 1. Plant for generation of non-conventional energy.

		<p>2. Vehicles used for transportation only within the premises of the industrial unit, and material handling equipment used exclusively in transporting goods within such premises.</p> <p>3. Plant for captive power generation for self use</p> <p>4. Plant for pollution control measures</p> <p>5. Plant for waste management (including Battery disposal/ recycling/ material recovery facility/ water treatment)</p> <p>6. Testing facilities (including battery testing)</p>
E	Infrastructure facilities	Such new roads, sewer lines, water drainage, power lines, infrastructure (including such other facilities essential for operation of unit), which link the enterprise's premises with the main infrastructure trunk lines.

- 2.8. **Fixed Capital Investment for Service unit (FCI-S)** means investment made in *building, civil works, charger, battery equipment, utilities, tools and other such assets (excluding land cost)* as are required to provide battery charging/ swapping service, within eligible investment period. The cost of building and civil works shall not exceed more than 10% of fixed capital investment. This also includes building and equipment for setting up recycling facilities, battery collection centres and disposal facilities at the same premises of the charging/ swapping station.
- 2.9. **Ineligible Capital Investment for Manufacturing units** includes - Working capital; Goodwill; Preliminary and pre-operative expenses; Interest capitalized; Expenses capitalized in the books for acquisition of technology/ technical know-how; consultancy charges; royalty; design and drawings; patents, licenses, software and intellectual property rights and Power generation, except for captive use as mentioned under Plant & Machinery head of Capital Investment as defined in this policy. Such heads shall not be considered for calculating the capital investment
- 2.10. **Ineligible Capital Investment for Service units** includes - Working capital; Goodwill; Preliminary and pre-operative expenses; Interest capitalized; Expenses capitalized in the books for acquisition of technology/ technical know-how; consultancy charges; royalty; design and drawings; patents, licenses, software and intellectual property rights and Power generation, except for captive use. Such heads shall not be considered for calculating the capital investment
- 2.11. **Project Category for Manufacturing units:** For administering the incentives, the following four investment commitment-based **Project Categories** have been identified. The minimum Capital Investment required to be eligible for each Project Category will be termed as the Threshold Investment for the respective categories.

Project- Threshold Limits		
Category	Nature	Criteria

Integrated EV Project	'Manufacturing unit' engaged in manufacturing atleast any two of these: EV manufacturing and/or EV Battery and/or EV/ EV battery Component and/or Testing & R&D Facilities	Eligible fixed capital investment of more than equal to INR 3000 Cr.
Ultra Mega Battery	'Manufacturing unit' engaged in manufacturing battery with minimum production capacity of 1 GwH	'Manufacturing unit' with Eligible fixed capital investment of more than equal to INR 1500 Cr or more
Mega EV project	'Manufacturing unit' engaged in manufacturing EV or EV related component	'Manufacturing unit' with Eligible fixed capital investment of more than equal to INR 500 Cr or more
Mega EV battery project	'Manufacturing unit' engaged in manufacturing EV Battery or related component	'Manufacturing unit' with Eligible fixed capital investment of more than equal to INR 300 Cr or more
Large EV projects	'Manufacturing unit' engaged in manufacturing EV and/or EV Battery and/or EV/ EV battery Component	'Manufacturing unit' with Eligible fixed capital investment of more than MSME category but less than Mega EV/ Battery category
MSME projects	'Manufacturing unit' engaged in manufacturing EV and/or EV Battery and/or EV/ EV battery Component	'Manufacturing unit' with Eligible fixed capital investment as per GoI MSME Act 2020

2.12. **Project Category for Service units:** For administering the incentives, the following **Project Categories** have been identified. The minimum Capital Investment required to be eligible for each Project Category will be termed as the Threshold Investment for the respective categories.

- a. **Charging Stations** i.e. any Privately-owned, DISCOM-owned and Investor-owned charging dedicated public charging station (including fast/ slow) that is used for charging any public/ private use EV or EV fleet and can be installed at independent homes, group residential buildings, offices, public places or dedicated parking land which can be self-operated or CPO-managed (Charged Point Operator Managed), with fixed capital investment of more than equal to INR 25 lakh (excluding land cost). The charging stations shall adhere to the norms laid by Ministry of Power (MoP), Ministry of Housing & Urban Affairs (MoHUA) and Department of Heavy Industry (DHI) and Govt of UP as amended from time to time.

- b. **Swapping Stations** i.e. service units providing battery swapping facility set up with Fixed Capital Investment of more than equal to INR 15 lakh (excluding land cost). The Swapping stations shall adhere to the guidelines and norms laid by Niti Aayog and/or Union Govt and and Govt of UP from time to time.

2.13. **Cut-off Date for Manufacturing units** means

- a. the Date of Commencement of Investment of the project falling within the Effective Period of the Policy, in case, investment starts on or after Effective Date of the Policy.
- b. the Effective Date of the policy in case investment commences prior to the Effective Date. However, in case only land is acquired prior to the Effective Date, the date on which the first investment towards any of the other heads (except Land) defined under Capital investment is made on or after the Effective Date will be considered as Cut-off Date.

2.14. **Cut-off date for Service units** the Date of Commencement of Investment (except in land) of the project falling within the Effective Period of the Policy, and the investment starts on or after Effective Date of the Policy.

2.15. **Eligible Investment Period (EIP) for Manufacturing units** means the period for completing investment.

- a. **For MSME Projects** - The EIP shall be the period commencing from first date of investment falling in the effective period upto 3 years or till the date of commencement of commercial operations (admissible date), whichever is earlier
- b. **For Large Projects** - The EIP shall be the period commencing from first date of investment falling in the effective period upto 4 years or till the date of commencement of commercial operations (admissible date), whichever is earlier
- c. **For Mega Projects** - The EIP shall be the period commencing from first date of investment falling in the effective period upto 5 years or till the date of commencement of commercial operations (admissible date), whichever is earlier
- d. **For Ultra Mega Battery/ Integrated EV Project** - The EIP shall be the period commencing from first date of investment falling in the effective period upto 7years or till the date of commencement of commercial operations (admissible date), whichever is earlier

Note: Such cases will also be covered under Capital Investment in which the Date of Commencement of Investment is within the period immediately preceding 3 years from the Effective Date (for all categories of projects) subject to the condition that commercial production in such cases commences after the Effective Date and at least 80% of the Capital Investment should have been made after the Effective Date. However, the investment made in the Land

component of Capital Investment even prior to the period immediately preceding 3 years from the Effective Date will also be allowed for the purpose of calculating Capital Investment. The value of such investment in land shall be considered on the book value at the time of purchase of the land and any revaluation of the land done after that will not be considered.

- 2.16. **Eligible Investment Period (EIP) for Service Units** means the period for completing investment. The period commencing from first date of investment (not in land) falling in the effective period upto 5 years or till the date of commencement of commercial operations (admissible date), whichever is earlier shall be EIP for service units.
- 2.17. **Eligible Fixed Capital Investment for Manufacturing units (EFCI-M)** means the Capital Investment as has been made by any project in its Eligible Investment Period after the Effective Date of the policy. In case, the Capital Investment by any project has started prior to the Effective Date, at least 80% of such Capital Investment should be made after the Effective Date of the Policy and the same Capital Investment will be considered as the Eligible Capital Investment for determining admissible incentives. However, for deciding the Project, category of investment (MSME/ Large/ Mega/ Ultra Mega/ Integrated Project), the Capital Investment in the Eligible Investment Period, as enumerated, will be considered.
- 2.18. Any manufacturing unit making **Phased Investment** will be eligible to avail incentives under this Policy provided such applications are received at least prior to the Date of commencement of commercial operations of the 1st phase.
- a. In such cases, the relevant incentives will be disbursed post attainment of the Threshold Investment and post commencement of commercial production of the phase in which the Threshold Investment has been attained.
 - b. The unit will be eligible for relevant incremental incentive on additional Eligible Capital Investment; however, the Eligible Investment Period will remain the same
- 2.19. **Eligible Fixed Capital Investment for Service Units (EFCI-S)** means the fixed Capital Investment as has been made by any project during the Eligible Investment Period of the policy.
- 2.20. **'Incremental Turnover'** (In case of project expansion) means the difference of the current turnover after expansion minus the Average base turnover, where Average Base Turnover means the turnover in the preceding 5 financial years (or less in case the unit has been in production for less than 5 years), (that is 5 years preceding the financial year in which the date of commercial production falls in case of a single-phase project, or 5 years preceding the date of commercial production of first phase in a multi-phase project).

2.21. **'Employees'** means all workers that are engaged as Direct Employees and Contract Labour subject to the following conditions which will be accounted as 'employees' for the purpose of evaluating admissible Skill Development Subsidy under this policy :

- a) 'Direct employees' refers to employees who are in a direct contractual relationship with the company.
- b) 'Contract labour' refers to those employees hired in connection with the work or "contract for service" of an establishment by or through a contractor, or persons who are hired, supervised, and remunerated by a contractor who, in turn is compensated by the company. Contract labour may be getting wages paid by the contractor, however, contract shall exclude –
 - i. casual labourers and temporary workers
 - ii. out-workers, that is, a person to whom any articles or materials are given out by or on behalf of the principal employer to be made up, cleaned, washed, altered, ornamented, finished, repaired, adapted, or otherwise processed for sale for the purposes of the trade or business of the principal employer, and the process is to be carried out either at the home of the out-worker or in some other premises, not being premises under the control and management of the principal employer
 - iii. not working on-site of the Project premises
- c) Both Direct Employees and Contract Labour as defined above should be covered under Employment Provident Fund (EPF).

2.22. **Date of commencement of commercial production for eligible Manufacturing Unit** means the date on which the eligible manufacturing unit starts commercial production, which shall be determined as below –

- a) Date on which the applicant raises the first GST invoice (trial production not to be considered), which will be verified by a dedicated officer deputed by Commercial Tax Deptt, GoUP in the PIU set up at Invest UP and certified by Dy. Commissioner, Industries, District Industries & Enterprise Promotion Centre or the Chartered Accountant empanelled at Invest UP.

OR,

- b) Date as per the IEM (Industrial Entrepreneurship Memorandum) Part – B, which is certified by Dy. Commissioner, Industries, District Industries & Enterprise Promotion Centre or the Chartered Accountant empanelled at Invest UP.

2.23. **Date of Commencement of commercial operations for Eligible Service Unit** means the date on which the eligible Service Unit starts commercial operations as per the first electricity bill in the name of the service unit. Such date will be verified by the relevant DISCOM and certified by the relevant Dy. Commissioner Industries, District Industries & Enterprise Promotion Centre.

- 2.24. **Letter of Comfort** means a formal letter of approval provided to eligible manufacturing units to claim benefit on admissible incentives within the effective period from the Sanctioning Authority of the State Government under EVMM 2022
- 2.25. **Sanction Letter** means a formal letter of approval provided to eligible service providers to claim benefit on admissible incentives within the effective period from the Sanctioning Authority of the State Government under EVMM 2022
- 2.26. **Nodal Agency** means “Invest UP” for processing the applications for incentives of Manufacturing units and Service providers under UP EVMM Policy 2022
- 2.27. **Sanctioning Authority** means Hon’ble Industry Minister for Large category manufacturing units, Hon’ble Cabinet for Mega/ Ultra Mega category projects and Integrated projects, and Empowered Committee for Service units (EC-S) chaired by IIDC as per the provisions of the UP EVMM Policy 2022.

3. Admissible incentives

3.1. For Eligible Manufacturing Projects:

3.1.1. Capital Subsidy

3.1.1.1. As per Section 5.3 of EVMM Policy 2022, Capital Subsidy is admissible at the following rates –

Sl	Category	Criteria	Capital Subsidy	Period of subsidy
1	Integrated EV Project	Investing Rs 3000 Cr or more; First 2 only	30% on eligible investment, subject to max Rs 1000 Cr per project	Over a period of 20 years
2	Ultra Mega Battery	Investing Rs 1500 Cr or more and minimum production capacity of 1 GwH; First 2 only	30% on eligible investment, subject to max Rs 1000 Cr per project	Over a period of 20 years
3	Mega EV project	Investing Rs 500 Cr or more; First 5 only	20% on eligible investment, subject to max Rs 500 Cr per project	Over a period of 10 years
4	Mega EV battery project	Investing Rs 300 Cr or more; First 5 only	20% on eligible investment, subject to max Rs 500 Cr per project	Over a period of 10 years
5	Large EV projects	Investment of more than MSME but less than Mega EV/ Battery category	18% on eligible investment, subject to max Rs 90 Cr per project	Over a period of 10 years

6	MSME projects	Investment as per GoI MSME Act 2020	10% on eligible investment, subject to max Rs 5 Cr per project	Over a period of 2 years
Incentives will be provided in equal annual instalments				

3.1.1.2. Capital Subsidy will be multiplied by **Gross Capacity Utilisation Multiple (GCM)**, which is determined as follows

- i. For the 1st year – GCM shall be 1 if the ratio of actual production and installed capacity is 40%.
- ii. In the 1st year, if the ratio of actual production and installed capacity is less than 40%, the GCM shall be proportionately reduced as per the given formula -

$$\text{GCM} = \text{Minimum of } (40\%, \text{ Capacity Utilisation of the considered year}) / 40\%$$

- iii. For the subsequent years – GCM shall be 1 if the ratio of actual production and installed capacity is 75%.
- iv. In case the ratio of actual production and installed capacity is less than 75%, the GCM shall be proportionately reduced as per the given formula -

$$\text{GCM} = \text{Minimum of } (75\%, \text{ Capacity Utilisation of the considered year}) / 75\%$$

- v. If the ratio of actual production and installed capacity in any year is less than or equal to 10%, the GCM will be 0
- vi. In case of Phased Investment, the GCM for the first year after each phase will be considered 1 for the additional investment done if the ratio of actual production and additional installed capacity in that phase is at least 40%. In the subsequent years, the GCM will be 1 if the actual production and additional installed capacity of the unit is 75% and if below, the GCM will be proportionately reduced
- vii. In case of expansion projects, the installed capacity of the existing unit is the one that is existing in the particular year preceding the year in which the commercial production of the expansion project has commenced. The GCM will be calculated based on the incremental capacity utilisation achieved due to the capacity installed by additional investment.
- viii. In case of diversification projects, the GCM will be calculated based on the capacity utilisation achieved due to the additional capacity installed for the new product(s) through additional investment Capital Subsidy that is reduced due to a GCM of below 1 in a particular year will not be carried forward to subsequent years.

3.1.1.3. The Capital Subsidy is subject to annual ceiling of INR 50 Crores for any project category. If the total admissible subsidy could not be disbursed within the maximum period defined for each category here, due to the upper ceiling of INR 50 Cr per annum, the subsidy period will be extended further maximum upto 10 years additionally subject to the condition that annual ceiling will remain INR 50 Cr per annum during additional period also.

3.1.2. **Stamp Duty reimbursement** on purchase/ lease of land shall be provided post commencement of commercial production at following rates –

- a) 100% to Integrated EV Project & Ultra Mega Battery project anywhere in UP
- b) 100% in Poorvanchal & Bundelkhand region, 75% in Madhyanchal & Paschimanchal (except GHZ & GBN district) and 50% in GBN & GHZ district to Mega/ Large/ MSME projects as defined in the policy
- c) Details of regional categorisation of districts is provided in Annexure-5 of these Rules

3.1.3. **Quality certification charges** reimbursement shall be provided one time at the rate of 50% of fees paid for obtaining certification upto max INR 10 lakhs per unit to Large and MSME EV/ Battery projects

3.1.4. **Patent registration fees** reimbursement shall be provided one time at the rate of 75% of cost/expenditure incurred upto maximum INR 50000 for acquiring domestic patent and upto INR 2 lakh for acquiring international patent to Large and MSME EV/ Battery projects

3.1.5. **Skill development subsidy** as reimbursement of stipend shall be provided one time at the rate of INR 5,000 per employee per year to a maximum of first 50 employees to all defined manufacturing projects.

- a) The subsidy will be paid for training maximum 10 employees in a particular year.
- b) This incentive will be applicable only on 'employees' (as defined in these Rules) engaged at the applicant manufacturing unit atleast for the period of 12-months before joining the training programme.
- c) The training programme for which this subsidy will be admissible should be certified by National Skill Development Corporation or UP Skill Development Mission or any Central/ State University/ College or ITIs/ Polytechnics.

3.1.6. All incentives shall be provided only post commencement of commercial production; Sum of all fiscal incentives shall not exceed 100% of FCI for manufacturing projects.

- 3.1.7. The First-cum-first-serve basis shall be determined by the projects which are being provided 'Letter of Comfort' for incentives by the State Government under the policy.
- 3.2. **For Eligible Service Providers:**
- 3.2.1. **Capital Subsidy for setting up Charging Stations** on eligible fixed capital investment for service units (EFCI-S) at the rate of 20% subject to maximum INR 10 lakh per unit to 1st 2000 Charging Stations in the State during the effective period of the policy
- 3.2.2. **Capital Subsidy for setting up Swapping Stations** on eligible fixed capital investment for service providers (EFCI-S) at the rate of 20% upto max INR 5 lakh per unit to 1st 1000 Swap Stations in the State during the effective period of the policy
- 3.2.3. Such subsidy will be provided one-time to any eligible service provider in one-instalment. The Policy shall strive to attract investments for setting up atleast 20 charging stations and 5 swapping stations per district during the policy period.
- 3.2.4. The incentive shall be provided only post commencement of commercial operations of applicant project (charging/ swapping station). Any individual investor/ enterprise/ company/ institution shall be provided the above subsidy for setting up maximum 100 charging/ swapping stations.
- 3.2.5. All incentives shall be provided only post commencement of commercial operations; Sum of all fiscal incentives shall not exceed 100% of FCI for any charging infrastructure project.
- 3.2.6. The First-cum-first-serve basis shall be determined by the projects which are being provided 'Sanction Letter' for incentives by the State Government under this policy.
- 3.2.7. The incentives will be provided as early-bird incentive to defined Eligible Service Units setting up new charging infrastructure for public use in general and shall have to comply with the provisions as laid down by Ministry of Power or any other nodal agency appointed for Charging Infrastructure approval/guidelines.
- 3.2.8. The Eligible Service Unit should have verified electric connector for the purpose of charging vehicles at site.
- 3.2.9. The land proposed for setting up of charging station shall be in the name of the individual/entity in the form of ownership/lease.

4. Application process for Sanction and Disbursal of incentives to Manufacturers:

- 4.1. For claiming any incentive under the policy, the applicant will first have to register online at Nivesh Mitra portal (Sample template of Registration Form in Annexure1), where a Unique ID will be issued to each application.
- 4.2. The applicant will then have to submit the application in the prescribed format (Annexure-2 for sanction of LoC and Annexure-3 for disbursal of incentives) to the Nodal Agency along with following documents –

Documents required with Application for Sanction of LoC	Documents required with Application for Disbursal
<ul style="list-style-type: none"> a. Detailed Project Report (DPR) CA. Certified (with details of all suggestive heads as per Format-1) b. Estimated Investment break-up – C.A certified (Format-2) c. Means of Financing – C.A certified (Format-3) d. Copy of Certificate of Incorporation e. Copy of Memorandum of Association f. Copy of Articles of Association g. Directors- PAN Card Copy and Aadhar Card Copy h. Copy of Board Resolution i. Self-Declaration Form (Format-4) j. Any other document (if required) 	<p>Stage1-</p> <ul style="list-style-type: none"> a. Actual Investment break-up – C.A certified (Format-1) b. Self-Declaration Form (Format-4) c. Copy of land lease deed/ sales deed d. Copy of quality certification/ Patent registered (if applicable) <p>Stage2-</p> <ul style="list-style-type: none"> e. Details of Raw Material Procurement C.A certified (Format 5) f. Details of Plant & Machinery C.A certified (Format 6) g. Details of Actual Production C.A certified (Format 7) h. Details of Stock Insurance C.A certified (Format 8) i. Any other document (if required)

- 4.3. **Expansion/ Diversification:** Incremental investment in cases of expansion and diversification will be considered for incentives under EVMM Policy 2022.
- 4.3.1. Such manufacturing units proposing expansion/diversification shall be required to maintain independent books of accounts for the expansion/diversification. Where however it is not possible to do so, assessment of benefits will be done on the basis of incremental turnover.
- 4.3.2. Such applications will be submitted as per Section 4.1 & 4.2. of these Rules.
- 4.4. The **application for disbursal of incentives** should be made by the applicant as per following –
- 4.4.1. **For Capital Subsidy –**
- a) The incentive is admissible to the applicant after 12months of commencement of commercial production by the applicant manufacturing units.

- b) Therefore, the online application for disbursement of incentives should be done in 2-stages viz. 'Stage-1' and 'Stage-2'.
- c) The Stage-1 application for first instalment should be made to the Nodal Agency online within 90-working days of commencement of commercial production by the applicant manufacturing units with the relevant documents as listed in 4.2 of these rules.
- d) The Stage-2 application will be made to the Nodal Agency after 12-months of commencement of commercial production or financial year, whichever is later with the relevant documents as listed in 4.2 of these rules. The applicant must ensure that the disbursement application filings are regularised as per respective Financial Year.

4.4.2. **For Stamp Duty reimbursement** - The online application to the Nodal Agency should be done within 90-working days of commencement of commercial production for claiming the refund of the Stamp Duty paid. Copy of Land lease deed/ sales deed should be submitted along-with the application. It is a one-time subsidy.

4.4.3. **For Quality Certification fee and/or Patent registration fee reimbursement** - The online application to the Nodal Agency should be done within 90-working days of commencement of commercial production for claiming these refunds. Copy of Quality Certificate and/or Patent obtained should be submitted along-with the application. It is a one-time subsidy.

4.4.4. **For Skill Development Subsidy** -

- a) The incentive is admissible to the applicant on annual basis post commencement of commercial production by the applicant manufacturing unit.
- b) The application for each year should be in Annexure-4 made to the Nodal Agency online within 90-working days of commencement of commercial production by the applicant manufacturing units along with following documents:
 - i. ESI/ EPF details of employees
 - ii. Copy of certificates from relevant ITI/ Polytechnic
 - iii. Copy of Salary/ Stipend payment slips
 - iv. Attendance of training
- c) The subsequent applications should be made on annual basis with the relevant documents as listed above. The applicant must ensure the regularisation of Financial Year

4.5. The Policy allows dovetailing of incentives with GoI policies/ schemes and strictly prohibits any dovetailing of incentives with any policy / scheme of Govt of UP. Therefore, the quantum of incentives availed under any GoI policy/

scheme shall be deducted from the 'Eligible Capital Investment' for the purpose of calculating admissible total incentives under the policy.

5. Application process for Sanction and Disbursal of incentives to Service Units:

- 5.1. For claiming the relevant capital subsidy for Service Units under the policy, the applicant will first register online at Nivesh Mitra portal (Sample template of Registration Form in Annexure1), where a Unique ID will be issued to each application through the online registration.
- 5.2. The applicant will then have to submit the application in the prescribed format (Annexure-6 for Sanction Letter and Annexure-7 for disbursal of incentives) to the Nodal Agency along with following documents –

Documents required with Application for Sanction Letter	Documents required with Application for Disbursal
<ul style="list-style-type: none"> a. Detailed Project Report (DPR) - CA. Certified (Format-9) b. Estimated Investment break-up – C.A certified (Format-10) c. Means of Financing – C.A certified (Format-11) d. Copy of Certificate of Incorporation e. Copy of Memorandum of Association f. Copy of Articles of Association g. Directors- PAN Card Copy and Aadhar Card Copy h. Copy of Board Resolution i. Self-Declaration Form (Format-4) j. Any other document (if required) 	<p>Stage1-</p> <ul style="list-style-type: none"> a) Actual Investment break-up – C.A certified (Format-10) b) Means of Financing – C.A certified (Format-11) c) Copy of relevant documents pertaining to aligned with guidelines of Ministry of Power or other ministry/nodal agency specified by Govt. of India d) Copy of Power Connection with details of Sanctioned and Connected load <p>Stage2-</p> <ul style="list-style-type: none"> e) Self-Declaration Form (Format-4) f) Copy of electricity bills g) Fire Safety Certificate for premises h) Compliance certificate with respect to norms of Govt. of India (Ministry of Power or any other nodal agency appointed for Charging Infrastructure approval/guidelines) i) Any other document (if required)

- 5.3. The **application for disbursal of incentives** should be made by the applicant as per following –

- 5.3.1. The incentive is admissible to the applicant after 12months of commencement of commercial operations by the applicant service units. Therefore, the online application for disbursement of incentives should be done in 2-stages viz. 'Stage-1' and 'Stage-2'.
- 5.3.2. The Stage-1 application should be made to the Nodal Agency online within 90-working days of commencement of commercial operations by

the applicant service units with the relevant documents as listed in 5.2 of these rules.

- 5.3.3. The Stage-2 application will be made to the Nodal Agency after 12-months of commencement of commercial operations or financial year, whichever is later with the relevant documents as listed in 5.2 of these rules. The applicant must ensure the regularisation of Financial Year

6. Evaluation process for Manufacturing units -

- 6.1. The Nodal Agency shall appoint a **dedicated Nodal Officer** to monitor and track the progress of all applications of manufacturing units made under UP EVMM Policy 2022.

6.2. Review Process for MSME applications

- a) The Nodal Officer at the Nodal Agency will directly send the MSME applications to Dy. Commissioner Industries, District Industries & Enterprise Promotion Centre of the relevant district where the project is being proposed for review and verification. The Nodal Agency shall only monitor the status and progress of MSME applications.
- b) The MSME applications shall be process and scrutinize the concerned Dy. Commissioner, Industries, District Industries & Enterprise Promotion Centre (DIEPC) with the help of officer/officials of concerned District Industries & Enterprise Promotion Centre and shall recommend the application to the Sanctioning Committee for approval through the concerned Joint Commissioner Industries (JCI).
- c) If the application is incomplete, the Dy Commissioner at relevant DIEPC shall communicate any discrepancy or incompleteness in the application and raise query to seek response on such matters from the applicant, online, through Nivesh Mitra.
- d) The Dy Commissioner at relevant DIEPC will complete such scrutiny and raise the query within 7-working days of receiving the application online. The applicant needs to respond to the query within 7-working days of the date of query. Subsequent queries can also be raised until Dy Commissioner at relevant DIEPC finds the application complete. For these subsequent queries, time frame of 7-working days is applicable for both the Dy Commissioner and the applicant.
- e) When the application for sanction of LoC is complete, the Dy Commissioner at relevant DIEPC will issue the 'Acknowledgement Certificate' online to the applicant. Such 'Acknowledgement Certificate' is not required for applications for disbursement.
- f) The process of reviewing the application for sanction of LoC will be initiated only after the issuance of this Acknowledgement Certificate. This

Acknowledge Certificate is mere testimony of the acceptance of the application under the Policy.

- g) The Dy Commissioner at relevant DIEPC must ensure that acknowledgement certificate to the applicant is issued within 30-working days of receipt of the application through Nivesh Mitra portal. If the queries are not resolved in this time frame, this application may be rejected, and the applicant may be asked to re-file the application.
- h) After preliminary examination, the Dy Commissioner at relevant DIEPC will shall forward the applications for LoC & disbursal received to the concerned departments.
- i) For the disbursal applications, the Dy Commissioner at relevant DIEPC will also execute the physical verification and shall also coordinate with the Nodal Agency for executing the examination & certification on Capital Investment made by the company as per the provision of the policy through their empanelled expert agencies including Chartered Accountants, Valuers, GST Auditors, Chartered Engineers, etc.
- j) Based on his/her comments and the report of relevant departments and CA/CEs (in case of disbursement application only), the Dy Commissioner at relevant DIEPC will prepare its observations and put up the application for review at the Sanctioning Committee.
- k) A **Sanctioning Committee** under the Chairmanship of concerned Divisional Commissioner shall be constituted with ADM (F&R) (of concerned district), Dy. Director/AIG/DIG, Stamps, Dy. Commissioner, Industries, District Industries & Enterprise Promotion Centre (of concerned district), Regional Officer, UPPCB, Representatives of the departments from whom the benefits have been requested as Members and Joint Commissioner Industries (JCI) as Member Convener.
- l) Representatives of the applicants will be invited in the meetings of the committee; however procedure of sanction will not be hindered on account of non-attendance of applicant.
- m) Upon sanction, a formal LoC will be issued in the prescribed format with respect to the benefits by concerned JCI. Such LoC should be provided to the applicant within 15-days of approval at Sanctioning Committee level.
- n) Similarly, on approval of application for disbursement of incentives at the Sanctioning Committee level, the Dy. Commissioner, Industries will inform the Nodal Agency for issuing a Govt order in this regard. On issuance of such G.O by IIDD, the Nodal Agency will release the funds to the Dy. Commissioner, Industries, District Industries & Enterprise Promotion who will disburse the incentives online directly into the applicant's bank account. The disbursal should be made to the applicant within 30-working days from the date of approval from relevant level.

6.3. **Review Process for Large/ Mega/ Ultra Mega projects and Integrated project:** The Nodal Agency will set up a Policy Implementation Unit (PIU) for review and examination of the applications.

a) **Composition of PIU**

- i. The PIU will be headed by the designated Nodal Officer from Invest UP who will work under the supervision of ACEO-Invest UP. The PIU shall be adequately staffed with outsourced professionals/ consultants and experienced retired and on deputation Govt officials.
- ii. The PIU shall also be assisted by empanelled Chartered Accountants, Engineers, Cost Accountants, GST Auditors etc. as individuals or firms or agencies

b) **Role of PIU:**

- i. The PIU will scrutinize the completeness and relevancy of each application and evaluate the applications for its errors and inconsistencies under the supervision of the designated Nodal Officer.
- ii. If the application is incomplete the Nodal officer at the PIU shall communicate any discrepancy or incompleteness in the application and raise query to seek response on such matters from the applicant, online, through Nivesh Mitra.
- iii. The Nodal Agency will complete such scrutiny and raise the query within 7-working days of receiving the application online. The applicant needs to respond to the query within 7-working days of the date of query. Subsequent queries can also be raised until the Nodal Agency finds the application complete. For these subsequent queries, time frame of 7-working days is applicable for both the Nodal Agency and the applicant.
- iv. When the application for LoC is complete, the Nodal Officer of the PIU will issue the 'Acknowledgement Certificate' online to the applicant. The complete disbursement applications do not need any 'Acknowledgement Certificate'.
- v. The process of reviewing the application for sanction of LoC will be initiated only after the issuance of this Acknowledgement Certificate. This Acknowledge Certificate is mere testimony of the acceptance of the application under the Policy.
- vi. The Nodal Agency must ensure that acknowledgement certificate to the applicant is issued within 30-working days of receipt of the application through Nivesh Mitra portal. If the queries are not resolved in this time frame, this application may be rejected, and the applicant may be asked to re-file the application.

- vii. After preliminary examination, the Nodal Officer at the PIU will shall forward the applications for LoC & disbursal received to the concerned departments, who shall provide their comments to Nodal Agency within one week.
 - viii. For the disbursal applications, the Nodal officer at the PIU shall arrange examination & certification on Capital Investment made by the company as per the provision of the policy through its empanelled expert agencies including Chartered Accountants, Valuers, GST Auditors, Chartered Engineers, etc. This includes examination of the installation & verification of Capital Investment at site.
 - ix. The Empanelled Chartered Accountants and Engineers / Valuers at the Nodal Agency shall assess & verify the factual position of capital investment as per certificates provided by the applicant in coordination with the relevant Deputy Commissioner, District Industries & Enterprise Promotion Centre.
 - x. Based on the comments of relevant departments and CA/CEs (in case of disbursement application only), the Nodal officer at the PIU will prepare its observations and put up the application for review at the relevant Scrutiny Committee.
- c) **The Scrutiny Committee** shall be set up to evaluate the application as per EVMM 2022. The Scrutiny Committee will review the Agenda Note within 90-working days of issuance of Acknowledgement Certificate.

i. Composition of Scrutiny Committee for Large Category applications

CEO Invest UP	Chairperson
Representative from PICUP	Member
Representative from Stamp & Registration Deptt	Member
Representative from Transport Deptt	Member
Representative from Labour Deptt	Member
Representative from Finance Deptt	Member
Representative from Planning Deptt	Member
Representative from Vocational Education Deptt	Member
Representative from Energy Deptt	Member
Representative from Housing Deptt	Member
Representative from relevant District Industries & Enterprise Promotion (MSME Deptt)	Member
Representative from UPSIDA/ UPEIDA/ NOIDA/ GNIDA/ YEIDA/ GIDA or any other IDA	Member
Finance Controller, Invest UP	Member
ACEO Invest UP	Member Secretary

Other members may be invited as & when required by CEO Invest UP. Representatives from other State/ Central Deptt and Agencies shall be nominated by CEO Invest UP as Special Invitees to the Scrutiny Committee as and when required.

ii. Composition of Scrutiny Committee for Mega/ Ultra Mega projects and Integrated project applications

IIDC	Chairperson
ACEO Invest UP	Member
Representative from PICUP	Member
Representative from Stamp & Registration Deptt	Member
Representative from Transport Deptt	Member
Representative from Labour Deptt	Member
Representative from Finance Deptt	Member
Representative from Planning Deptt	Member
Representative from Energy Deptt	Member
Representative from Housing Deptt	Member
Representative from Vocational Education Deptt	Member
Representative from relevant District Industries & Enterprise Promotion (MSME Deptt)	Member
Representative from UPSIDA/ UPEIDA/ NOIDA/ GNIDA/ YEIDA/ GIDA or any other IDA	Member
Finance Controller, Invest UP	Member
CEO Invest UP	Member Secretary

Other members may be invited as & when required by IIDC. Representatives from other State/ Central Deptt and Agencies shall be nominated by IIDC or CEO Invest UP as Special Invitees to the Scrutiny Committee as and when required.

- iii. The Scrutiny Committee will evaluate the application and finalise the Agenda Note for the recommendation and approval of the Empowered Committee or High-Level Empowered EV Committee.

6.4. **The Empowered Committee (EC) or High-Level Empowered EV Committee (HLEEVC)** shall recommend the applications for final approval. The EC/ HLEEVC will review the Agenda Note within 90-working days after the finalisation of Agenda Note by CEO Invest UP.

- a) Large category applications: An Empowered Committee shall be set up under the chairmanship of IIDC Govt of UP and CEO Invest UP will be its Member Secretary.
- i. The Empowered Committee shall comprise Addl Chief Secretary/ Principal Secretary – IIDC, Finance Deptt, Law Deptt, Commercial Tax Deptt, Stamp & Registration Deptt, Planning Deptt, MSME Deptt and CEO UPSIDA/ UPEIDA/ NOIDA/ GNIDA/ YEIDA/ GIDA or any other IDA as members. Other members may be invited as & when required by CEO Invest UP.
 - ii. Head of any other relevant department/authority/organization from whom benefits have been requested may also be invited as members as & when required

iii. Representatives of the applicants will be invited in the meetings of the committee; however, procedure of sanction will not be hindered on account of non-attendance of applicant.

b) Mega/ Ultra Mega projects and Integrated project applications: A High-level Empowered EV Committee (HLEEVC) shall be chaired by Chief Secretary GoUP and Secretary, IIDD will be its Member Secretary.

i. The HLEEVC shall comprise Infrastructure & Industrial Development Commissioner (IIDC), Additional Chief Secretary/ Principal Secretary - IIDD, Power Deptt, Stamp & Registration Deptt, MSMED, Finance Deptt, Law Deptt, Transport Deptt, Environment Deptt, Planning Deptt CEO UPSIDA/ UPEIDA/ NOIDA/ GNIDA/ YEIDA/ GIDA or any other IDA as members. Other members may be invited as & when required by Secretary, IIDD.

ii. Head of any other relevant department/authority/organization from whom benefits have been requested may also be invited as members as & when required

iii. Representatives of the applicants will be invited in the meetings of the committee; however, procedure of sanction will not be hindered on account of non-attendance of applicant.

6.5. Based on the recommendations of the EC/ HLEEVC, **the Sanctioning Authority will give its final approval on the applications -**

i. Large category project applications will be approved by Hon'ble Industry Minister

ii. Mega/ Ultra Mega projects and Integrated project applications will be approved by Hon'ble Cabinet

Note: Approval for sanction of LoC from relevant Sanctioning Authority should have been granted within the effective period of the policy for eligibility to claim benefits.

6.6. **Only the applications for approval of LoC, disbursement of 1st & last instalment of incentives will be approved by the relevant Sanctioning Authority. Applications for disbursement of subsequent instalment applications will be processed in the manner prescribed above, however, approval will be given at the level of EC or HLEEVC, as the case may be.**

6.7. After the approval of applications for LoC Sanction by the respective Sanctioning Authority (Hon'ble Industry Minister/ Cabinet), LoC to the applicant will be provided within 15-working days from the date of approval of relevant Sanctioning Authority.

6.8. Similarly, after the approval of applications for disbursal of incentives by the respective Sanctioning Authority (Hon'ble Industry Minister/ Cabinet), Infrastructure & Industrial Development Department (IIDD), GoUP will issue a Govt order and on the basis of such G.O the Nodal Agency will disburse the sanctioned incentive amount directly in the Bank Account of the applicant. The

disbursal should be made to the applicant within 30-working days from the date of approval from relevant level.

NOTE: In case, the total admissible incentive to any applicant is less than INR 1 Crore, the entire incentive amount will be disbursed in one-instalment. Such applications will be referred by the relevant Committee to the Empowered Committee for approval.

7. Evaluation process for Service units -

- 7.1. The Nodal Agency shall appoint a **dedicated Nodal Officer** to monitor and track the progress of all applications of service units made under UP EVMM Policy 2022.
- 7.2. The Nodal Agency will set up a Policy Implementation Unit (PIU) for review and examination of the applications.

a) Composition of PIU

- i. The PIU will be headed by the designated Nodal Officer from Invest UP who will work under the supervision of ACEO-Invest UP. The PIU shall be adequately staffed with outsourced professionals/ consultants and experienced retired Govt officials.
- ii. The PIU shall also be assisted by empanelled Chartered Accountants, Engineers, Cost Accountants, GST Auditors etc. as individuals or firms or agencies

b) Role of PIU:

- i. The PIU will manage the incentive applications and coordinate for the pre-establishment NoC/ Clearances/ approvals required for setting up the Service Units.
- ii. The PIU will scrutinize the completeness and relevancy of each application and evaluate the applications for its errors and inconsistencies under the supervision of the designated Nodal Officer.
- iii. If the application is incomplete the Nodal officer at the PIU shall communicate any discrepancy or incompleteness in the application and raise query to seek response on such matters from the applicant, online, through Nivesh Mitra.
- iv. The Nodal Agency will complete such scrutiny and raise the query within 7-working days of receiving the application online. The applicant needs to respond to the query within 7-working days of the date of query. Subsequent queries can also be raised until the Nodal Agency finds the application complete. For these subsequent queries, time frame of 7-working days is applicable for both the Nodal Agency and the applicant.

- v. When the application is complete, the Nodal Officer of the PIU will issue an 'Acknowledgement Certificate' online to the applicant. The complete disbursement applications do not need any issuance of 'Acknowledgement Certificate'.
- vi. The process of reviewing the application for sanction of LoC will be initiated only after the issuance of this Acknowledgement Certificate. This Acknowledge Certificate is mere testimony of the acceptance of the application under the Policy.
- vii. The Nodal Agency must ensure that acknowledgement certificate to the applicant is issued within 30-working days of receipt of the application through Nivesh Mitra portal. If the queries are not resolved in this time frame, this application may be rejected, and the applicant may be asked to re-file the application.
- viii. After preliminary examination, the Nodal Officer at the PIU will shall forward the applications for LoC & disbursal received to the concerned departments, who shall provide their comments to Nodal Agency within one week.
- ix. The PIU will seek comments with relevant authorities/ deptt particularly, like Transport Deptt, Housing Deptt, UPPCL and Urban Development Deptt of Govt of UP. This includes details of project compliance under Guidelines for EV Charging laid down by MoP, MoHUA or other relevant GoI Deptt. Also, status of clearances/ approvals required for the said service unit viz. Fire, Pollution clearances, etc.
- x. For the disbursal applications, the Nodal officer at the PIU shall arrange examination & certification on Capital Investment made by the company as per the provision of the policy through its empanelled C.A firms. The Nodal agency shall also arrange to get examined the installation & verification of Capital Investment at site through its empanelled consultants/ valuer/engineer.
- xi. The Empanelled Chartered Accountants and Engineers / Valuers at the Nodal Agency shall assess & verify the factual position of capital investment as per certificates provided by the applicant in coordination with the relevant DISCOM and the Deputy Commissioner, District Industries & Enterprise Promotion Centre.
- xii. Based on the comments of relevant departments and CA/CEs (in case of disbursement application only), the Nodal officer at the PIU will prepare its observations and put up the application for review at the relevant Scrutiny Committee.

7.3. **The Scrutiny Committee** shall be set up to evaluate the applications of service units as per EVMM 2022. The Scrutiny Committee will review the Agenda Note within 90-working days of issuance of Acknowledgement Certificate.

7.3.1. Composition of Scrutiny Committee for Service Units

CEO Invest UP	Chairperson
Representative from PICUP	Member
Representative from relevant DISCOM	Member
Representative from Transport Deptt	Member
Representative from Housing Deptt Deptt	Member
Representative from Finance Deptt	Member
Representative from Planning Deptt	Member
Representative from Energy Deptt	Member
Representative from Urban Development Deptt	Member
Representative from Fire Deptt	Member
Representative from UPPCB	
Representative from relevant District Industries & Enterprise Promotion (MSME Deptt)	Member
Representative from relevant Industrial Development Authority (IDA) or Development Authority (DA)	Member
Finance Controller, Invest UP	Member
ACEO Invest UP	Member Secretary

Other members may be invited as & when required by CEO Invest UP. Representatives from other State/ Central Deptt and Agencies shall be nominated by CEO Invest UP as Special Invitees to the Scrutiny Committee as and when required.

7.3.2. The Scrutiny Committee will evaluate the application and finalise the Agenda Note for the recommendation and approval of the Empowered Committee for Service Units (EC-S)

7.4. **The Empowered Committee for Service Units (EC-S)** shall review the applications for final approval. The EC-S will review the Agenda Note within 90-working days after the finalisation of Agenda Note by CEO Invest UP.

7.4.1. An Empowered Committee shall be set up under the chairmanship of IIDC Govt of UP and CEO Invest UP will be its Member Secretary.

- a) The Empowered Committee shall comprise Addl Chief Secretary/ Principal Secretary – IIDC, Housing Deptt, Energy Deptt (including representative from relevant DISCOM), Urban Development Deptt, MSMED, Finance Deptt, Environment Deptt, Transport Deptt, Planning Deptt, Chief Town & Country Planner (CTCP) and and CEO UPSIDA/ UPEIDA/ NOIDA/ GNIDA/ YEIDA/ GIDA or any other IDA or Vice Chairman of relevant Development Authority as members. as members. Other members may be invited as & when required by CEO Invest UP.
- b) Head of any other relevant department/authority/organization from whom benefits have been requested may also be invited as members as & when required

- c) Representatives of the applicants will be invited in the meetings of the committee; however, procedure of sanction will not be hindered on account of non-attendance of applicant.
- 7.5. Based on the review, the EC-S will give its final approval on the applications of service units and the Nodal Agency will proceed for issuance of 'Sanction Letter' or disbursement of incentives. Approval for 'Sanction letter' should be granted within the effective period of the policy for eligibility to claim benefits.
- 7.6. After the approval of applications for Sanction-Letter, the Nodal Agency will issue the 'Sanction-Letter' to the applicant within 15-working days from the date of approval of relevant Sanctioning Authority, i.e. EC-S.
- 7.7. Similarly, after the approval of applications for disbursement of incentives by the respective Sanctioning Authority, i.e. EC-S, Infrastructure & Industrial Development Department (IIDD), GoUP will issue a Govt order. On the basis of such G.O the Nodal Agency will disburse the sanctioned incentive online directly in the Bank Account of the applicant. The disbursement should be made to the applicant within 30-working days from the date of approval from relevant level.

8. Miscellaneous provisions

- 8.1. The applicant in all categories of Eligible Manufacturing projects shall submit a copy of Appraisal Note prepared by a Scheduled Commercial Bank (except Regional Rural Bank) or Financial Institution controlled by these banks or Central Government within six months from the date of issuance of LOC.
- 8.2. Application for any modifications/changes in nature of the project, or in the cost of project so as to effect change in its category, or for changes in LOC / Sanction letter conditions, etc. will be made by the manufacturing unit/ service unit and will be examined by Nodal Agency on its own or through a relevant State Deptt and will be placed before the relevant Recommending Authority i.e. EC/ HLEEVC/ EC-S, whose decision will be final.
- 8.3. Upon achieving the prescribed limits of benefits (quantum / period), or contravention in terms and conditions, the LOC/ Sanction Letter would automatically be treated as cancelled. If any information submitted by the manufacturing unit/ service unit is found to be false, or benefits are found to have been drawn based on concealment of material facts, the LOC/sanction shall be cancelled, and all benefits released to the undertaking shall become recoverable as arrears of land revenue from the date of issuance of such subsidy till the date of recovery at the rate of 12% annual compound interest.
- 8.4. Projects availing incentives under this policy will not be eligible to avail incentives under any other policy of the State Government. All incentives specified in this policy may be availed in addition to incentives available under any Government of India scheme/policy.
- 8.5. All eligible manufacturing unit will be required to furnish the information as asked by Nodal Agency or Govt. of U.P. from time to time as a condition for

disbursement, viz. detailed particulars of production, sale, stoppages in production, if any, closure of unit, etc. with clear reasons for same, certified particulars of increase in fixed capital investment, if any, sale/loss of fixed assets, if any, and change in constitution of the unit, audited Statements of Accounts and balance sheet of eligible unit within 6 months of close of each financial year, etc.

9. Administration of the policy

- 9.1. The expenses incurred by Nodal Agency towards verification of capital investment made by industrial undertakings through empanelled Engineers/ Valuers and Chartered Accountants would be borne by applicant companies on actual basis.
- 9.2. The relevant Sanctioning Authorities have the power to approve changes in the number of phases and their duration, changes in the cut-off date, change in Capital Investment within the same category, and date of commencement of commercial production/ operations etc. requested by any applicant prior to start of commercial operations and as per the terms and conditions stipulated in the policy and subsequent guidelines.
- 9.3. The Empowered Committee (EC) set up under the chairmanship of IIDC has the power to provide any clarity or interpretation of the policy and resolving any challenges faced in the implementation of the policy.
- 9.4. As per the EVMM Policy, any change required in the core principles, structure and overall framework of the policy, including targets and limits defined for incentives can be done on the recommendation of the High-level Empowered EV Committee (HLEEVC) set up under the chairmanship of Chief Secretary and approval of Hon'ble Chief Minister.
- 9.5. In case any amendments or modifications required in these guidelines and the forms appended herewith, the Industrial Development Department of Govt of UP shall be competent to make such amendments or modifications.
- 9.6. All disputes relating to the incentive scheme shall be subject to the jurisdiction of Lucknow Court.
- 9.7. The Infrastructure & Industrial Development Deptt, GoUP will ensure that the Nodal Agency has minimum account balance of INR 100 crores at any point in time. For this, the Nodal Agency will raise demand to IIDDD, immediately after a disbursement is made or on quarterly basis, whichever is earlier to replenish its account balance. IIDDD will transfer the funds from the amount sanctioned for IIEPP 2022 in the Budget of any Financial Year by the State Government.

- 9.8. The Finance Department shall allocate head of account for budget provisions under these Rules. Infrastructure & Industrial Development Department (IIDDD) shall be the Controlling and Estimates Authority and will submit the budget estimates/revised estimates under the relevant Head of Account as also for Supplementary demands.
- 9.9. At the start of each financial year, the full amount of budget provision shall be provided to the Nodal Agency. The budget provision for benefits pertaining to other departments will be made by the concerned departments.

Annexure-1

Registration Form (Unique ID generated on submission)

Sl.	Head	Details	Supporting documents	
1	Name of applicant		Certificate of Incorporation, registered partnership deed, trust /society registration deed	
2	Contact details of applicant a. Email b. Mobile c. Address		Certificate of Incorporation, registered partnership deed, trust /society registration deed	
3	PAN No of applicant		Copy of PAN	
4	Name of proposed unit			
5	Brief Project details			
6	Location of proposed unit			
7	Constitution of unit (Company/ Partnership Firm/ Others)		Copy of MoA/ AoA/ Partnerships deed/ Byelaws, Etc.	
8	Registration Number of unit		Copy of Registration certificate	
9	GSTIN of unit		Copy of GSTIN	
10	IEC Code (if available)			
11	Nature of business of the proposed unit (Industrial Categorization as per ID&R Act/NIC)			
12	Registration or License for setting up Manufacturing Unit		Enclose acknowledgement of IEM/ IL	
13	Promoter/ Director information (Supported by Copy of PAN & DIN numbers)			
Sl	Name	Designation	Contact Details (Address/ Mobile/ Email)	PAN & DIN Numbers
14	Proposed Investment (INR Cr)			
15	Estimated Employment			
16	Details of authorised signatory			
	a. Name b. Designation c. Contact details Email Phone no Address d. PAN No			Copy of Board Resolution
17	Beneficiary Bank Details (Name, Account No, Name of Bank, IFSC Code)			Copy of Bank Passbook

Annexure-2

Application Form for Sanction of Letter of Comfort for Manufacturing units under UP EVMMP 2022

Part-A: Project Details

Sl	Head	Details	Supporting documents	
1	Unique ID No.		Nivesh Mitra Registration Form	
2	Name of proposed unit		DPR in prescribed format	
3	Brief Project details		DPR in prescribed format	
4	Location of project a. District b. Region		DPR in prescribed format	
5	Constitution of unit (Company/ Partnership Firm/ Others)		Copy of MoA/ AoA/ Partnerships deed/ Byelaws, Etc.	
6	Registration Number of unit		Copy of Registration certificate	
7	GSTIN of unit		Copy of GSTIN	
8	IEC Code (if available)			
9	Nature of business of the proposed unit (Tick)	Battery/ EV/ EV Component		
10	Registration or License for setting up Manufacturing Unit		Enclose acknowledgement of IEM/ IL	
11	Proposed Investment (INR Cr)		DPR in prescribed format Format – 1 (C.A certified Investment Break up)	
12	Category of the project (Tick mark)	MSME/ Large EV/ Large EV Battery/ Mega EV/ Mega EV battery/ Ultra Mega EV Battery/ Integrated EV Plant	DPR in prescribed format Format – 1 (C.A certified Investment Break up)	
13	Promoter/ Director information (Supported by Copy of PAN & DIN numbers)			
Sl	Name	Designation	Contact Details (Address/ Mobile/ Email)	PAN & DIN Numbers
14	Estimated Employment			
(14A) Estimated Employment			DPR in prescribed format	
Year	Male	Female	Total	
15	Is the capital investment proposed in phases (Y/N)		DPR in prescribed format	
16	Brief overview of phases of proposed investment and commercial production			
Sl	Phase (Year)	Estimated Investment (INR Cr)	Date of start of Commercial Production	

17	Proposed Date of project completion		DPR in prescribed format
18	Proposed date of Commencement of Commercial Production		DPR in prescribed format
(19) Proposed Production (Product wise)			DPR in prescribed format
	Product Name:	Installed Capacity per annum	Estimated production per annum
	Phase-1 (FY_)		
	Phase-2 (FY_)		
	Phase-3 (FY_)		
20	Details of authorised signatory		
	a. Name b. Designation c. Contact details Email Phone no Address d. PAN No		Copy of Board Resolution
21	Beneficiary Bank Details (Name, Account No, Name of Bank, IFSC Code)		Copy of Bank Passbook

Part-B: Incentives requested -

<u>Sl</u>	<u>Item</u>	<u>Details</u>
<u>BENEFITS REQUESTED</u> (in INR Cr)		
1	Capital Subsidy	
2	Stamp Duty Refund	
3	Quality certification incentive	
4	Patent filing incentive	
5	Skill Development incentive	
6	If any incentive claimed under any GoI incentive? (Y/N)	
6A	If Y - Name of such Scheme	
6B	If Y - Incentive claimed (INR Cr)	

Note: Besides submitting the formats prescribed in these rules, the applicant will have to submit the following supporting documents as well -

- 1) Detailed Project Report (DPR) should be prepared by external consultant / Chartered Accountant
- 2) Chartered Accountant's Certificate for existing gross block industrial undertaking must be annexed if it is an existing unit
- 3) Chartered Engineer's Certified List of Fixed Assets of existing industrial undertaking in support of gross block must be annexed if it is an existing unit

Annexure-3

Application Form for Disbursal of Incentives for Manufacturing units under UP EVMP 2022

Part-A: Project Details

Sl	Head	Details	Supporting documents	
1	Unique ID No.		Nivesh Mitra Registration Form	
2	LoC No & Date of Issuance		Copy of LoC sanctioned	
3	Name of proposed unit			
4	Location of project a. District b. Region		Enclose certificate from concern Deputy Commissioner, District Industries & Enterprise Promotion Centre & Chartered Accountant	
5	Actual investment (INR Cr)		Format - 1 (C.A certified Investment Break up)	
6	Mention the phase of investment for which the application is made		Enclose certificate from concern Deputy Commissioner, District Industries & Enterprise Promotion Centre & Chartered Accountant	
7	Nature of business of the proposed unit (Tick)	Battery/ EV/ EV Component		
8	Category of the project (Tick mark)	MSME/ Large EV/ Large EV Battery/ Mega EV/ Mega EV battery/ Ultra Mega EV Battery/ Integrated EV Plant	Format - 1 (C.A certified Investment Break up)	
(9) Employment Generated			Copy of EPF Register	
	Year	Male	Female	Total
10	Date of project/ phase completion			
11	Date of Commencement of Commercial Production for the phase for which application is made			

Part B- Incentives claimed -

1) Details of Incentives Claim (INR Cr)	
1A	Capital Subsidy
1B	Stamp Duty refund
1C	Quality certification incentive
1D	Patent filing incentive
1E	Skill Development incentive

(2) Declarations of incentives claimed (instalments) under EVMM 2022		
2A	No of instalments of incentive already claimed	
2B	Incentive instalment already claimed (INR Cr)	
Sl	Incentive Head	Incentive Amt (Rs Cr)
	Capital Subsidy	
	Any other head	
(3) If any incentive claimed under any Gol incentive? (Y/N)		
3A	If Y - Name of such Scheme	
3B	If Y - Incentive claimed under such scheme (INR Cr)	

Note: Besides, the format prescribed in these rules, the applicant will need to submit the following supporting documents –

- a) Registered document showing purchase price, Receipt of payment of stamp duty, receipt of payment of registration fee
- b) If land purchased from UPSIDA/DI/FIs/Banks in auction, supporting documents for price paid.
- c) Detailed cost estimates of building and civil works constructed or to be constructed (as per DPR/Appraisal Note) and supported with layout plans and cost estimates prepared by external consultants/CA firms and cost incurred duly certified by statutory auditors.
- d) The cost of proposed/actual capital investment in the head of plant and machinery and misc. fixed assets should be shown itemized in accordance with the provisions of the Rules for scrutiny, verification, and certification.
- e) Declaration of commencement of commercial production
- f) 12-Month purchase and sales bills, 12-Month electricity bill

Annexure 4

List of Districts – Region wise

क्षेत्रवार जनपदों की सूची

पूर्वांचल	बुन्देलखण्ड	परिचमोचल
फैजाबाद मण्डल 1. फ़ैजाबाद 2. अम्बेडकरनगर 3. बराबंकी 4. सुल्तानपुर 5. अमेठी गोरखपुर मण्डल 6. गोरखपुर 7. देवरिया 8. महाराजगंज 9. कुशीनगर प्रयागराज मण्डल 10. प्रयागराज 11. कोशाम्बी 12. फतेहपुर 13. प्रतापगढ़ वाराणसी मण्डल 14. वाराणसी 15. चन्दौली 16. जौनपुर 17. गाजीपुर मिर्जापुर मण्डल 18. मिर्जापुर 19. सन्तारविदासनगर (भदोही) 20. सोनभद्र आजमगढ़ मण्डल 21. आजमगढ़ 22. बलिया 23. मऊ देवीघाटन मण्डल 24. गोपबद्धा 25. बहराइच 26. बलरामपुर 27. आषस्ती बस्ती मण्डल 28. बस्ती 29. सन्तकबीरनगर 30. सिद्धार्थनगर	झांसी मण्डल 1. झांसी 2. जालौन 3. ललितपुर चित्रकूट 4. बांदा 5. चित्रकूट 6. हमीरपुर 7. महोबा मध्यांचल कानपुर मण्डल 1. कानपुर नगर 2. कानपुर देहात (रमाबाईनगर) 3. इटावा 4. औरिया 5. फर्रुखाबाद 6. कन्नौज लखनऊ मण्डल 7. लखनऊ 8. हरदोई 9. लखीमपुर खीरी 10. रायबरेली 11. सीतापुर 12. उन्नाव	आगरा मण्डल 1. आगरा 2. फ़िरोजाबाद 3. मैनपुरी 4. मथुरा अलीगढ मण्डल 5. अलीगढ 6. हाथरस 7. कासगंज 8. एटा मुरादाबाद मण्डल 9. मुरादाबाद 10. बिजनौर 11. सम्मल 12. रामपुर 13. अमरोहा मेरठ मण्डल 14. मेरठ 15. बुलन्दशहर 16. हापुड 17. बागपत 18. गौतमबुद्ध नगर 19. गाज़ियाबाद सहारनपुर मण्डल 20. मुजफ्फरनगर 21. शामली 22. सहारनपुर बरेली मण्डल 23. बरेली 24. बुदायूं 25. पीलीभीत 26. शाहजहाँपुर

Annexure-5

Application Form for Sanction of Letter of Comfort for Service units under UP EVMMP 2022

Part-A: Project Details

Sl	Head	Details	Supporting documents	
1	Unique ID No.		Nivesh Mitra Registration Form	
2	Name of proposed unit		DPR in prescribed format	
3	Brief Project details		DPR in prescribed format	
4	Location of project a. District b. Region		DPR in prescribed format	
5	Constitution of unit (Company/ Partnership Firm/ Others)		Copy of MoA/ AoA/ Partnerships deed/ Byelaws, Etc.	
6	Registration Number of unit		Copy of Registration certificate	
7	IEC Code (if available)			
8	Nature of business of the proposed unit (Tick)	Charging/ Swapping		
9	Proposed Investment (INR Cr)		DPR in prescribed format Format – 10 (C.A certified Investment Break up)	
10	Category of the project (Tick mark)	Charging Station/ Swapping Station	DPR in prescribed format Format – 10 (C.A certified Investment Break up)	
11	Estimated load sanction (in MW)		DPR in prescribed format	
12	Status of power connection			
13	Estimated Employment			
14	Proposed Date of project completion		DPR in prescribed format	
15	Proposed date of Commencement of Commercial operations		DPR in prescribed format	
16	Promoter/ Director information (Supported by Copy of PAN & DIN numbers)			
Sl	Name	Designation	Contact Details (Address/ Mobile/ Email)	PAN & DIN Numbers
17	Details of authorised signatory			
	a. Name b. Designation c. Contact details Email Phone no Address d. PAN No			Copy of Board Resolution
18	Beneficiary Bank Details (Name, Account No, Name of Bank, IFSC Code)			Copy of Bank Passbook

Part-B: Incentives requested -

Sl	Item	Details
BENEFITS REQUESTED (in INR Cr)		
1	Capital Subsidy	
2	If any incentive claimed under any GoI incentive? (Y/N)	
2A	If Y - Name of such Scheme	
2B	If Y - Incentive claimed (INR Cr)	

Annexure-3

**Application Form for Disbursal of Incentives for Service units
under UP EVMP 2022**

Part-A: Project Details

Sl	Head	Details	Supporting documents
1	Unique ID No.		Nivesh Mitra Registration Form
2	LoC No & Date of Issuance		Copy of LoC sanctioned
3	Name of proposed unit		
4	Location of project a. District b. Region		Enclose certificate from concern Deputy Commissioner, District Industries & Enterprise Promotion Centre & Chartered Accountant
5	Actual investment (INR Cr)		Format - 10 (C.A certified Investment Break up)
6	Category of the project (Tick mark)	Charging Station/ Swapping Station	Format - 10 (C.A certified Investment Break up)
7	Estimated Employment		
8	Load sanctioned (in MW)		Relevant DISCOM documents
9	Status of power connection		Relevant DISCOM documents
10	Capacity of the unit		Relevant DISCOM documents
11	Date of project completion		
12	Date of Commencement of Commercial operations		
13	Status of NoCs (Fire, Pollution)		Relevant supporting documents

Part B- Incentives claimed -

(1) Details of Incentives Claim (INR Cr)	
Capital Subsidy	
(2) If any incentive claimed under any GoI incentive? (Y/N)	
2A	If Y - Name of such Scheme
2B	If Y - Incentive claimed under such scheme (INR Cr)

Note: Besides, the format prescribed in these rules, the applicant will need to submit the following supporting documents –

- a) Detailed cost estimates of building and civil works constructed or to be constructed (as per DPR/Appraisal Note) and supported with layout plans and cost estimates prepared by external consultants/CA firms and cost incurred duly certified by statutory auditors.
- b) The cost of actual capital investment for service units should be shown itemized in accordance with the provisions of the Rules for scrutiny, verification, and certification.
- c) Declaration of commencement of commercial operations
- d) 12-Month electricity bill, Certificate from DISCOM or other supporting documents related to load sanctioned, power supply, etc.
- e) Documents for NoC/ Clearance obtained from Fire Deptt and Pollution Deptt.

Format - 1

FORMAT FOR DPR FOR MANUFACTURING UNITS (Suggestive)

- 1. Executive Summary**
- 2. The Project**
 - 2.1 Details of Project & Product
 - 2.2 Capacity & Production
 - 2.3 The Company- (Including Details of Group Companies & Financial Performance during last 3 years)
 - 2.4 Promoters' Background
 - 2.5 Detailed Break up of Cost of Project
 - 2.5.1 Land Cost
 - 2.5.2 Stamp Duty & Registration Fees paid
 - 2.5.3 Building Cost
 - 2.5.4 Other Construction Cost
 - 2.5.5 Plant & Machinery
 - 2.5.6 Cost of Developing Infrastructure facilities
 - 2.5.7 Any other (Cost)
 - 2.5.8 Total-Cost of Project
 - 2.6 Present Status of the Project
- 3. Means of financing**
 - 3.1 Equity: - Promoters' Contribution & Source/Internal Accruals/Details of Fund Arrangement from External Sources etc.
 - 3.2 Debt Contribution Source & Cost of Debt
- 4. Land Details & Logistics**
 - 4.1 Character of Land
 - 4.2 Requirement of Land Area
 - 4.3 Ownership of the Land
 - 4.4 Present Status of Land
 - 4.5 Location of Land
- 5. Details of Proposed Building- Section wise Layout, Measurement, Type of Construction etc.**
- 6. Details of Plant & Machinery & MFA**
 - 6.1 Technology Used
 - 6.2 Possible Source of Equipment's/Machine Suppliers
 - 6.3 Cost & Quantity
 - 6.4 Specification & Supplier
 - 6.5 Erection & Commissioning Arrangement
 - 6.6 Pollution/Wastage- Controlling Measures
 - 6.7 Machines to be installed for adhering to pollution norms
- 7. Procurement Strategy of Raw Material & Other inputs**
 - 7.1 Raw Material Availability
 - 7.2 Sources of Procurement
 - 7.3 Process of Procurement
 - 7.4 Costing

8. Narration of Manufacturing Process

- 8.1 Process Flow Diagram
- 8.2 Machine Layout Plan
- 8.3 Process Flow Table

9. Infrastructure Requirement & Source

- 9.1 Power
- 9.2 Water & Sewerage
- 9.3 Others

10. Manpower Requirement- Breakup

- 10.1 Direct Manpower Employment Requirement (Skilled, Semiskilled, Unskilled etc.)
- 10.2 Indirect Employment Generation Possibilities

11. Market

- 11.1 Sector Background - Market Scenario , Major Players, Demand Supply Gap & Opportunities, Marketing Strategy, Network
- 11.2 Approach

12. SWOT Analysis

13. Financial Analysis

- 13.1 Cost Estimates
- 13.2 Working Capital Requirement
- 13.3 Revenue Projections
- 13.4 Fund Flow Statement
- 13.5 Financial Ratios
- 13.6 Break Even
- 13.7 Term loan
- 13.8 Internal Rate of Return
- 13.9 Techno-Commercial Viability Assessment
- 13.10 Project Implementation Key Dates (from first investment to last investment)
- 13.11 Total Fixed Capital
- 13.12 Gross Block & Net Worth of the Company

Format - 2

Format for CA/ Statutory Auditors Certificate (with membership no. of CA on their letter head) for Proposed/ Actual Fixed Capital Investment made by the applicant manufacturing unit

M/s.

(Rupees in Crores)

Sl. No.	Particulars	Proposed Investment in the project (As per DPR)	Proposed Investment in the project (As per Bank Appraisal)	Amount invested prior to Cut-off Date (first date of investment)	If any investment made in the proposed project prior to 14.10.2022 , then		If investment made in the proposed project after 14.10.2022 then indicate amount invested from Cut-off Date till the date of commencement of commercial Production (if project is being set up in phases, phase-wise investment be indicated from Cut - off Date)
					Indicate amount invested from Cut-off Date till 14.10.2022	Indicate amount invested from 14.10.2022 till the date of commencement of commercial Production (if project is being set up in phases, phase-wise investment be indicated from 14.10.2022)	
1	2	3	4	5	6	7	8
1.	Land Cost (Actual price/ allotment price)					
2.	Stamp Duty paid						
3.	Registration fees paid						
4.	Building Cost						
5.	Other construction cost						
6.	Plant & Machinery						
7.	Cost of developing infrastru						

	cture facilities						
8.	Any other cost including In-house R&D (if applicable)						
	Total (1 to 8)						

This is to certify that the above information has been examined by us and duly certified by the authorized signatory of the statutory auditor/Chartered Accountant in support of availing sanction of incentives by M/S..... (name of the company) under UP EVMMP 2022 and found correct. **We hereby also certify that no investment has been made in the proposed project prior to first date of investment.**

(Signature, Address, Seal & Membership No. of Chartered Accountant) OR (Signature of the Authorized Signatory of Statutory Auditor)

Format - 3

Format for CA/ Statutory Auditors Certificate (with membership no. of CA on their letter head) for Proposed/ Actual Sources of Investment made by the applicant manufacturing unit

M/s.

(Rupees in Crores)

S.No	Particulars	Proposed Investment in the project (As per DPR)	Proposed Investment in the project (As per Bank Appraisal)	Amount invested prior to Cut-off Date (first date of investment)	If any investment made in the proposed project prior to 14.10.2022, then		If investment made in the proposed project after 14.10.2022 then indicate amount invested from Cut-off Date till the date of commencement of commercial Production (if project is being set up in phases, phase-wise investment be indicated from Cut-off Date)
					Indicate amount invested from Cut-off Date till 14.10.2022	Indicate amount invested from 14.10.2022 till the date of commencement of commercial Production (if project is being set up in phases, phase-wise investment be indicated from 14.10.2022)	
1	2	3	4	5	6	7	8
1.0	Equity						
1.1	Equity Share Capital						
1.2	Internal Cash Accruals						
1.3	Interest Free Unsecured Loans						
1.4	Security Deposit						
1.5	Advances from Dealers						

1.6	Other, If any						
2.0	Loans						
2.1	From FI's						
2.2	From Bank						
2.3	Other, If any						
3.0	Total						

This is to certify that the above information has been examined by us and duly certified by the authorized signatory of the statutory auditor/Chartered Accountant in support of availing sanction of incentives by M/S..... (name of the company) under UP EVMMP 2022 and found correct. **We hereby also certify that no investment has been made in the proposed project prior to first date of investment.**

(Signature, Address, Seal & Membership No. of Chartered Accountant) OR (Signature of the Authorized Signatory of Statutory Auditor)

Format - 4

Self Declaration

The information provided while filling online form on Nivesh Mitra Portal to avail sanction of incentives under UP EVMMP 2022 is completely true, and no fact has been concealed or misrepresented. It is further certified that the company has not applied for benefits under any sector-specific or other policy of the Government of Uttar Pradesh.

It is also certified that, the details of financial assistance taken from Government of India Schemes, if any as provided in the application is true and in case of any dovetailing of this scheme with Central Government policies/schemes, the Company would not claim incentives more than upper ceiling defined in the policy from all the schemes put together and in such a case Government of Uttar Pradesh financial assistance shall be reduced to that extent.

I/we hereby agree that I/we shall forthwith repay the benefits released to me/us under UP EVMMP 2022, if the said benefits are found to be disbursed in excess of the amount actually admissible whatsoever the reason.

**Signature of Authorised Signatory with
Name, Designation and Office Seal**

Date:

Place:

Format 5

Details of Raw Material and other overheads

(1) Details of Raw material procured							
Sl	GSTIN of the Supplier	Name of the Supplier	Invoice Details				
			Invoice No.	Date	Unit	Taxable Value	Tax amount
(2) Details of Overhead charges							
2A	Bills of Electricity/Power/ Fuel						
2B	Labour Payment & PF Challan					PF Challan & Payment details	
2C	Insurance Cover (INR Cr)						

Format 6

Details of Plant & Machinery

Sl.	Head	Details	Documents Required					
1	Manufacturing Process flow		Separate Process Flow to be attached					
2	Manufacturing Basis	Labour intensive/ Machine Intensive						
3	Production Capacity (Product wise)		Detailed report may be attached					
4	Standard Manufacturing Period (in months)							
5 Installed Machinery								
Sl	GSTIN of the Supplier	Name of the Supplier	Invoice Details					Capacity of Output in units
			Invoice No.	Date	Unit	Taxable Value	Tax amount	
6	Input Out Ratio (Year wise)		Year1	Year2	Year 3	Year4	Year5	

Format-7

Details of Actual Production

Actual Production		
Product Name:-----	Production Qty (in units)	Production Value (in INR Crores)
Phase-1 (FY_)		
Phase-2 (FY_)		
Phase-3 (FY_)		

Format 8

Details of Stock Insurance Cover

Details of Insurance cover						
Sl	Name of Insurance Co.	Policy No.	Sum Assured	Amount of Stock	Validity from—to—	Insurance Coverage

Format - 9

FORMAT FOR DPR FOR SERVICE UNITS (Suggestive)

- 1. Executive Summary**
- 2. The Project**
 - 2.1 Details of Project
 - 2.2 Capacity
 - 2.3 The Company- (Including Details of Group Companies & Financial Performance during last 3 years)
 - 2.4 Promoters' Background
 - 2.5 Detailed Break up of Cost of Project
 - 2.6 Present Status of the Project
- 3. Means of financing**
- 4. Land Details & Logistics**
 - 4.1 Character of Land
 - 4.2 Requirement of Land Area
 - 4.3 Ownership of the Land
 - 4.4 Present Status of Land
 - 4.5 Location of Land
- 5. Details of Proposed Building- Layout, Measurement, Type of Construction etc.**
(Details of specifications in-line with MoHUA & MoP Guidelines)
- 6. Details of Fixed Assets**
 - 6.1 Type of Chargers Used
 - 6.2 Technology Used
 - 6.3 Source of Chargers/ Technology used
 - 6.4 Cost & Quantity
 - 6.5 Specifications
 - 6.6 Pollution/Wastage- Controlling Measures
- 7. Power Arrangements**
 - 7.1 Estimated/ Actual Load sanctioned
 - 7.2 Estimated power consumption
 - 7.3 Other details
- 8. Narration of Process**
- 9. Manpower Requirement- Breakup**
- 10. Market Scenario and approach**
- 11. SWOT Analysis**
- 12. Financial Analysis**
 - 12.1. Cost Estimates, Revenue Projections, Techno-Commercial Viability Assessment, etc
 - 12.2. Project Implementation Key Dates (from first investment to last investment)
 - 12.1 Total Fixed Capital and Gross Block & Net Worth of the Company

Format - 10

Format for CA/ Statutory Auditors Certificate (with membership no. of CA on their letter head) for Proposed/ Actual Fixed Capital Investment made by the applicant for Service Units

M/s.

(Rupees in Crores)

Sl. No.	Particulars	Proposed Investment in the project (As per DPR)	Proposed Investment in the project (As per Bank Appraisal)	Amount invested prior to Cut-off Date (first date of investment)	If any investment made in the proposed project prior to 14.10.2022 , then		If investment made in the proposed project after 14.10.2022 then indicate amount invested from Cut-off Date till the date of commencement of commercial Production (if project is being set up in phases, phase-wise investment be indicated from Cut - off Date)
					Indicate amount invested from Cut-off Date till 14.10.2022	Indicate amount invested from 14.10.2022 till the date of commencement of commercial Production (if project is being set up in phases, phase-wise investment be indicated from 14.10.2022)	
1	2	3	4	5	6	7	8
1.	Building Cost						
2.	Other construction cost						
3.	Cost of Chargers/ Swapping points						
4.	Cost of developing infrastructure facilities						
5.	Any other cost)						
	Total						

This is to certify that the above information has been examined by us and duly certified by the authorized signatory of the statutory auditor/Chartered Accountant in support of availing sanction of incentives by M/S..... (name of the company) under UP EVMMP 2022 and found correct. **We hereby also certify that no investment has been made in the proposed project prior to first date of investment.**

(Signature, Address, Seal & Membership No. of Chartered Accountant) OR (Signature of the Authorized Signatory of Statutory Auditor)

Format - 11

Format for CA/ Statutory Auditors Certificate (with membership no. of CA on their letter head) for Proposed/ Actual Sources of Investment made by the applicant for Service Units

M/s.

(Rupees in Crores)

S.No	Particulars	Proposed Investment in the project (As per DPR)	Proposed Investment in the project (As per Bank Appraisal)	Amount invested prior to Cut-off Date (first date of investment)	If any investment made in the proposed project prior to 14.10.2022, then		If investment made in the proposed project after 14.10.2022 then indicate amount invested from Cut-off Date till the date of commencement of commercial Production (if project is being set up in phases, phase-wise investment be indicated from Cut - off Date)
					Indicate amount invested from Cut-off Date till 14.10.2022	Indicate amount invested from 14.10.2022 till the date of commencement of commercial Production (if project is being set up in phases, phase-wise investment be indicated from 14.10.2022)	
1	2	3	4	5	6	7	8
1.0	Equity						
1.1	Equity Share Capital						
1.2	Internal Cash Accruals						
1.3	Interest Free Unsecured Loans						
1.4	Security Deposit						
1.5	Advances from Dealers						

1.6	Other, If any						
2.0	Loans						
2.1	From FI's						
2.2	From Bank						
2.3	Other, If any						
3.0	Total						

This is to certify that the above information has been examined by us and duly certified by the authorized signatory of the statutory auditor/Chartered Accountant in support of availing sanction of incentives by M/S..... (name of the company) under UP EVMMP 2022 and found correct. **We hereby also certify that no investment has been made in the proposed project prior to first date of investment.**

(Signature, Address, Seal & Membership No. of Chartered Accountant) OR (Signature of the Authorized Signatory of Statutory Auditor)